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**School District 4J  
Eugene Public Schools  
200 North Monroe  
Eugene, Oregon 97402-4295**

### **DISTRICT 4J ANNUITY PROVIDERS**

1. American Century Investors	2. American Express Fin. Advisors
3. Calvert Group	4. Capital Guardian Trust
5. DLJSC- Walnut Street	6. Fidelity Investments
7. Galic Disbursing Company	8. Horace Mann
9. ING/ Aetna	10. Lincoln National Life
11. Oppenheimer	12. Primerica/Common Sense Smith Barney
13. Primerica Shareholder/America Capital Company	14. Reliastar/ING/Northern Life
15. State Farm Insurance	16. Valic Life Insurance
17. Vanguard Group	18. Waddell & Reed
19. Western United Life	

District 4J does not review the financial condition of the 403(b) providers on the approved list, nor warrant the quality of any TSA product offered.

*After choosing your company from the list above, you must fill out their application before submitting your salary reduction agreement to Financial Services.*

**On the following page are TSA Guidelines that providers must follow. It is the employee's responsibility to ensure that the agent is aware of these guidelines:**

## **TAX SHELTERED GUIDELINES EFFECTIVE AUGUST 2000**

The following regulations are applicable to TSA providers and the individual products that they market. Only those products that meet these regulations may be offered to District employees.

1. Providers must have a minimum of five (5) active District participants before new applications will be accepted. To add a new provider, 10 or more District participants are required.
2. Any new contracts or changes to existing contracts must be submitted to the District by the 15<sup>th</sup> of each month.
3. Providers must have a local agent and/or provide a toll free telephone number and contact person.
4. The provider certifies that its TSA contracts qualify as described in Sect. 403(b) of the IRS Code.
5. Life Insurance must not be included as part of the annuity contract.
6. Upon request, providers must submit IRS Section 402(g), 415, and maximum exclusion calculations for District employees.

*These guidelines are subject to change at the discretion of the District.*

Solicitation and selling of annuity products to District employees that do not qualify and comply with District Policy and IRS Regulations will result in suspension of the provider and the annuity product(s) from participation in the District's tax sheltered annuity program. Solicitation not only includes initiating discussions about such products, but also includes discussing such products without informing potential buyers that the products are not approved by the District.